



23

05-13-02

10038054-050000
\$110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wand et al.

:

: Group Art Unit: not assigned

Serial No.: 10/038,054

: Examiner: Not Assigned

Filed: January 3, 2002

For: High Polarization Ferroelectric
Liquid Crystal Compositions

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C., 20231

May 8, 2002
Date

Loretta Allemenos
Loretta Allemenos

EL 936708728 US
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TRANSMITTAL

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 14, 2002 included herewith are:

- Check in the amount of **\$1,362** (\$740 filing fee; \$130 declaration surcharge, \$324 for 18 claims over 20, \$168 for 2 independent claims over 3);
- Copy of Formalities Letter (Notice to File Missing Parts);
- Executed Joint Inventors' Declaration for Patent Application and Power of Attorney; and
- A substitute specification in compliance with 37 CFR 1.52.

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Susan K. Doughty

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Reg. No. 43,595

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WASHINGTON, D. C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,054	01/03/2002	Michael Wand	75-99

CONFIRMATION NO. 5769

23713
GREENLEE WINNER AND SULLIVAN P C
5370 MANHATTAN CIRCLE
SUITE 201
BOULDER, CO 80303

FORMALITIES LETTER



OC000000007644519

Date Mailed: 03/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$492.
 - \$324 for 18 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1362.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced
(See 37 CFR 1.52(b)).

05/15/2002 AWONDAF1 00000083 10038054

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	168.00 OP
04 FC:103	324.00 OP

A copy of this notice MUST be returned with the reply.

John S. M. V.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE